# A semi-Lagrangian deterministic solver for a hybrid quantum-classical nanoMOSFET 

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MOMINE, 14-21/06/2008

## Outline

(1) The model

- Geometry
- Mathematical model
(2) Numerical methods for the Schrödinger-Poisson block
- Newton schemes
- Solvers for Schrödinger and Poisson
(3) Solvers for the BTE block
- BTE discretizations
- Linear advection
- PWENO interpolations

4) Experiments

- Equilibria
- Time-dependent simulations

Geometry

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## The model

We afford the simulation of a nanoscaled MOSFET.


Dimensional coupling
$x$-dimension is longer than $z$-dimension, therefore we adopt a different description:

- along $x$-dimension electrons behave like particles, their movement being described by the Boltzmann Transport Equation;
- along $z$-dimension electrons confined in a potential well behave like waves, moreover they are supposed to be at equilibrium, therefore their state is given by the stationary-state Schrödinger equation.


## The model

Subband decomposition
Electrons in different energy levels, also called sub-bands, another name for the eigenvalues of the Schrödinger equation, have to be considered independent populations, so that we have to transport them for separate.

Coupling between dimensions
Dimensions and subbands are coupled in the Poisson equation for the computation of the electrostatic field in the expression of the total density.

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## The model

## BTE

The Boltzmann Transport Equation (one for each band) reads

$$
\frac{\partial f_{p}}{\partial t}+\frac{1}{\hbar} \nabla_{k} \epsilon_{p}^{k i n} \cdot \nabla_{x} f_{p}-\frac{1}{\hbar} \nabla_{x} \epsilon_{p}^{p o t} \cdot \nabla_{k} f_{p}=\mathcal{Q}_{p}[f], \quad f_{p}(t=0, x, k)=\rho_{p}^{e q}(x) M(k) .
$$

Schrödinger-Poisson block

plus boundary conditions.

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Schrödinger-Poisson block

$$
\begin{aligned}
& -\frac{\hbar^{2}}{2} \frac{d}{d z}\left[\frac{1}{m_{*}} \frac{d \chi_{p}[V]}{d z}\right]-q\left(V+V_{c}\right) \chi_{p}[V]=\epsilon_{p}^{p o t}[V] \chi_{p}[V] \\
& \left\{\chi_{p}\right\}_{p} \subseteq H_{o}^{1}\left(0, l_{z}\right) \text { orthonormal basis } \\
& -\operatorname{div}\left[\varepsilon_{R} \nabla V\right]=-\frac{q}{\varepsilon_{0}}\left(\sum_{p} \rho_{p}\left|\chi_{p}[V]\right|^{2}-N_{D}\right)
\end{aligned}
$$

plus boundary conditions.
These two equations cannot be decoupled because we need the eigenfunctions to compute the potential (in the expression of the total density), and we need the potential to compute the eigenfunctions.

## The model

The collision operator
The collision operator takes into account the electron-optical phonon scattering mechanism. It reads

$$
\mathcal{Q}_{p}[f]=\sum_{p^{\prime}} \int_{\mathbb{R}^{2}}\left[S_{\left(p^{\prime}, k^{\prime}\right) \rightarrow(p, k)} f_{p^{\prime}}\left(k^{\prime}\right)-S_{(p, k) \rightarrow\left(p^{\prime}, k^{\prime}\right)} f_{p}(k)\right] d k^{\prime}
$$

with

$$
\begin{aligned}
S_{(p, k) \rightarrow\left(p^{\prime}, k^{\prime}\right)} & =S_{(p, k) \rightarrow\left(p^{\prime}, k^{\prime}\right)}^{+}+S_{(p, k) \rightarrow\left(p^{\prime}, k^{\prime}\right)}^{-} \\
S_{(p, k) \rightarrow\left(p^{\prime}, k^{\prime}\right)}^{+} & =(N+1) \mathcal{C} \frac{1}{W_{p, p^{\prime}}} \delta\left(\epsilon^{\prime}-\epsilon-\hbar \omega\right) \\
S_{(p, k) \rightarrow\left(p^{\prime}, k^{\prime}\right)}^{-} & =N \mathcal{C} \frac{1}{W_{p, p^{\prime}}} \delta\left(\epsilon^{\prime}-\epsilon+\hbar \omega\right)
\end{aligned}
$$

## Meaning of the parameters

Bose-Einstein distribution

$$
N=\frac{1}{e^{\frac{\hbar \omega}{k_{B} T_{L}}}-1}
$$

is the number of phonons with frequency $\omega$ assuming Bose-Einstein distribution.

Effective distance (overlapping integral)

is an effective distance over which particles in sub-bands $p$ and $p^{\prime}$ interact.

Total energy
is the energy of an electron in sub-band $p$.

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$$
\epsilon=\epsilon_{p}^{p o t}(x)+\epsilon^{k i n}(k)
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## The model

Band structure
The band structure is taken in the parabolic-band approximation:

$$
\epsilon^{k i n}(k)=\frac{\hbar^{2}|k|^{2}}{2 m_{*}}
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## The Newton scheme

The functional
Solving the Schrödinger-Poisson block

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The scheme
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$$
d P\left(V^{\text {old }}, V^{\text {new }}-V^{\text {old }}\right)=-P\left[V^{\text {old }}\right] .
$$

## The iterations

Derivatives
The Gâteaux-derivatives of the eigenproperties are needed:

$$
\begin{aligned}
d \epsilon_{p}(V, U) & =-q \int U(\zeta)\left|\chi_{p}[V](\zeta)\right|^{2} d \zeta \\
d \chi_{p}(V, U) & =-q \sum_{p^{\prime} \neq p} \frac{\int U(\zeta) \chi_{p}[V](\zeta) \chi_{p^{\prime}}[V](\zeta) d \zeta}{\epsilon_{p}[V]-\epsilon_{p^{\prime}}[V]} \chi_{p^{\prime}}[V](z) .
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After computing the Gâteaux-derivative of the density and developping calculations, we are led to a Poisson-like equation

$$
\begin{aligned}
& -\operatorname{div}\left(\varepsilon_{R} \nabla V^{\text {new }}\right)+\int_{0}^{l_{z}} \mathcal{A}\left[V^{\text {old }}\right](z, \zeta) V^{\text {new }}(\zeta) d \zeta \\
= & -\frac{q}{\varepsilon_{0}}\left(\sum_{p} \rho_{p}\left|\chi_{p}\left[V^{\text {old }}\right]\right|^{2}-N_{D}\right)+\int_{0}^{l_{z}} \mathcal{A}\left[V^{\text {old }}\right](z, \zeta) V^{\text {old }}(\zeta) d \zeta
\end{aligned}
$$

where $\mathcal{A}[V]$ is essentially the Gâteaux-derivative of the functional $P[V]$.

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## Numerical methods

We need to solve the Schrödinger eigenvalue problem and Poisson equations.
The Schrödinger equation
Equation

$$
-\frac{\hbar^{2}}{2} \frac{d}{d z}\left[\frac{1}{m_{*}} \frac{d \chi_{p}}{d z}\right]-q\left(V+V_{c}\right) \chi_{p}=\epsilon_{p} \chi_{p}
$$

is discretized by alternate finite differences for the derivatives then the symmetric matrix is diagonalized by a LAPACK routine called DSTEQR.

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The derivatives are discretized by finite differences in alternate directions, the integral is computed via trapezoid rule and the linear system is solved by means of a LAPACK routine called DGESV.

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$$
-\operatorname{div}\left[\varepsilon_{R} \nabla V\right]+\int_{0}^{l_{z}} \mathcal{A}(z, \zeta) V(\zeta) d \zeta=\mathcal{B}(z)
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## Discretization for the transport

Once we have developped the method for updating the band-potential energies, we can focus the attention on solving the transport. Two discretization are proposed.

> Runge-Kutta
> FDWENO evaluates via dimension-by-dimension approximation the derivatives $\frac{\partial f_{p}}{\partial x}$ and $\frac{\partial f_{p}}{\partial k_{1}}$ and is coupled with the TVD (Total Variation Diminishing) Runge-Kutta-3 for the time discretization.

Time- \& dimensional-splitting
The BTE is split into the solution of the transport and the collisions, then inside the transport we split dimensions and solve linear advection problems:


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\frac{\partial f_{p}}{\partial t} & =\mathcal{Q}_{p} f_{p}
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$$

## Runge-Kutta

The operator
Define the operator

$$
L_{p}\left(t, f_{p}\right)=-\frac{1}{\hbar} \frac{\partial}{\partial k_{1}}\left[\epsilon^{k i n} f_{p}\right]+\frac{1}{\hbar} \frac{\partial}{\partial x}\left[\epsilon_{p}^{p o t}(t) f_{p}\right]+\mathcal{Q}_{p} f_{p}
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## Runge-Kutta scheme

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$$
\begin{aligned}
f_{p}^{(1)} & =f_{p}^{n}+\Delta t L_{p}\left(t^{n}, f_{p}^{n}\right) \\
f_{p}^{(2)} & =\frac{3}{4} f_{p}^{n}+\frac{1}{4} f_{p}^{(1)}+\frac{1}{4} \Delta t L_{p}\left(t^{n}+\Delta t, f_{p}^{(1)}\right) \\
f_{p}^{n+1} & =\frac{1}{3} f_{p}^{n}+\frac{2}{3} f_{p}^{(2)}+\frac{2}{3} \Delta t L_{p}\left(t^{n}+\frac{\Delta t}{2}, f_{p}^{(2)}\right)
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## Linear advection

We propose two schemes for solving the linear advection

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\frac{\partial f}{\partial t}+v \frac{\partial f}{\partial x}=0:
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## Semi-Lagrangian

Directly integratc backward in the characteristic


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Directly integrate backward in the characteristic


## Linear advection

Flux Balance Method:
Total mass conservation is forced. It is based on the idea of following backward the characteristics, but integral values are taken instead of point values:


The averages along the red segments are the same, because we have followed the characteristics backward.

FLUX BALANCE METHOD means evualuating the flux at time $\mathrm{t}^{\mathrm{n}+1}$ from a balance of fluxes at previous time $\mathrm{t}^{\mathrm{n}}$ :
-...-..- the average along the purple segment

-     -         -             -                 -                     - plus the average along the blue segment


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## Motivation

We need a Pointwise interpolation method which does not add spurious oscillations when high gradients appear, e.g. when a jump has to be transported.


Figure: Left: PWENO interpolation. Right: Lagrange interpolation.

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## Non-oscillatory properties

Essentially Non Oscillatory (ENO) methods are based on on a sensible average of Lagrange polynomial reconstructions.
We describe the case of PWENO-6,4: we take a stencil of six points and divide it into three substencils of four points:

PWENO-6,4


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## The average

If we note $p_{r}(x)$ the Lagrange polynomials, PWENO reconstruction reads

$$
p_{P W E N O}(x)=\omega_{0}(x) p_{0}(x)+\omega_{1}(x) p_{1}(x)+\omega_{2}(x) p_{2}(x)
$$

Convex combination
The convex combination $\left\{\omega_{r}(x)\right\}$, must penalize the substencils $S_{r}$ in which the $p_{r}(x)$ have high derivatives.

## Smoothness indicators

In order to decide which substencils $S_{\text {r }}$, are "regular" and which ones are not, we have to introduce the smoothness indicators: we use a weighted sum of the $L^{2}$-norms of the Lagrange polynomials $p_{r}(x)$ to measure their regularity close to the reconstruction point $x$. The following smoothness indicators have been proposed by Jiang and Shu:


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$$
\beta_{r}=\Delta x\left\|\frac{d p_{r}}{d x}\right\|_{L_{\left(x_{i}, x_{i+1}\right)}^{2}}+\Delta x^{3}\left\|\frac{d^{2} p_{r}}{d x^{2}}\right\|_{L_{\left(x_{i}, x_{i+1}\right)}^{2}}+\Delta x^{5}\left\|\frac{d^{3} p_{r}}{d x^{3}}\right\|_{L_{\left(x_{i}, x_{i+1}\right)}^{2}} .
$$

## High order reconstruction

Admit for now that the convex combination is given by the normalization $\omega_{r}(x)=\frac{\tilde{\omega}_{r}(x)}{\sum_{s=0}^{2} \tilde{\omega}_{s}(x)}$ of the protoweights $\tilde{\omega}_{r}(x)$ defined this way:

$$
\tilde{\omega}_{r}(x)=\frac{d_{r}(x)}{\left(\epsilon+\beta_{r}\right)^{2}}
$$

## Regular reconstruction

Suppose that all the $\rho_{r}$ are equal; then we have

$$
\omega_{r}(x)=d_{r}(x) .
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The optimal order is achieved by Lagrange reconstruction $p_{\text {Lagrange }}(x)$ in the whole stencil $\mathcal{S}$, so if we define $d_{r}(x)$ to be the polynomials such that

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p_{\text {Lagrange }}(x)=d_{0}(x) p_{0}(x)+d_{1}(x) p_{1}(x)+d_{2}(x) p_{2}(x),
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\tilde{\omega}_{r}(x)=\frac{d_{r}(x)}{\left(\epsilon+\beta_{r}\right)^{2}}
$$

High gradients
Otherwise, suppose for instance that $\beta_{0}$ is high order than the other ones: in this case $\mathcal{S}_{0}$ is penalized and most of the reconstruction is carried by the other more "regular" substencils.

Equilibria

## Outline

The model- Geometry
- Mathematical model

2) Numerical methods for the Schrödinger-Poisson block

- Newton schemes
- Solvers for Schrödinger and PoissonSolvers for the BTE block
- BTE discretizations
- Linear advection
- PWENO interpolations
(4) Experiments
- Equilibria
- Time-dependent simulations


## Thermodynamical equilibrium



## Outline

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## Long-time behavior

We propose now some results relative to the long-time behavior of the system.

## Plasma oscillations

Close to the ends of the channel, and particularly on the drain side, we observe that the system oscillates around an equilibrium. The frequency has been empirically observed to correspond to the plasma oscillation frequency, given by

$$
\omega_{p e}=\sqrt{\frac{N_{D} q^{2}}{m_{*} \varepsilon_{S i}}} .
$$

